



IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of: KLINER, et al.)

Serial Number: 09/778,329)

Examiner: not assigned

Filed: 02/06/01)

Group Art Unit: not assigned

For: PREFORM FOR PRODUCING)

AN OPTICAL FIBER AND)

METHOD THEREFOR)

Attorney Docket Number: SD-8317)

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

Date: 04/12/01

Sir:

Pursuant to 37 CFR §§1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner. It is respectfully requested that the reference(s) cited herein be expressly considered during the prosecution of this application and that they be made of record therein.

[] Submission under §1.97(b).

[X] Within three months of the filing date of the application; or

[] Before the mailing date of the first Official Action on the merits, whichever comes last.

[] Submission under §1.97(c).

After three months of the filing date of the application or the first Official Action on the merits, whichever occurred last, but :

[] Prior to Final Action; or

[] Prior to Notice of Allowance.

Accompanying this transmittal is:

- A Certification under §1.97(e); and
- The Fee required under 37 CFR §1.17(p). (Please charge Deposit Account No. ____ fee in the amount of \$240.00 . A duplicate copy of this paper is attached.)
- Submission under §1.97(d).

After Final Action or Notice of Allowance but prior to payment of Issue Fee.

Accompanying this transmittal is:

- A Certification under §1.97(e);
- A Petition requesting consideration of the information disclosure statement; and
- The Fee required under 37 CFR §1.17(i). (Please charge Deposit Account No. ____ a fee in the amount of \$130.00 . A duplicate copy of this paper is attached.)

Submitted herewith is a legible copy of all information listed on PTO 1449, attached hereto, including: (i) each U. S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed. Except as may be indicated below, all of the patents, publications or other information cited are in the English language.

The relevance of cited references is contained in the specification of the application.

The relevance of cited references either not in the English language or not included in the specification of the application is as indicated below:

International Publication Number WO 00/37974 to Douglas C. ALLEN, et al., titled "PHOTONIC CRYSTAL FIBER"

Disclosed is an optical wave guide fiber preform which is an assembly of one or more core rods (14) surrounded by a plurality of clad rods (10). The clad rods (10) have a central portion (12) and a surrounding layer (22), in which the refractive index of the central portion is lower than that of the surrounding layer. The preform is drawn into an optical waveguide fiber that has a two component clad layer.

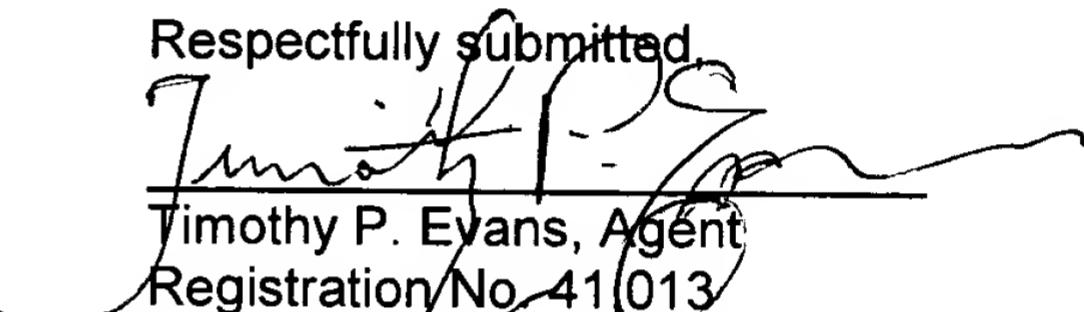
[] Any patents, publications or other information listed on PTO-1449 but which are not enclosed herewith were previously cited or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 USC 120.

U. S. Serial NumberU. S. Filing Date

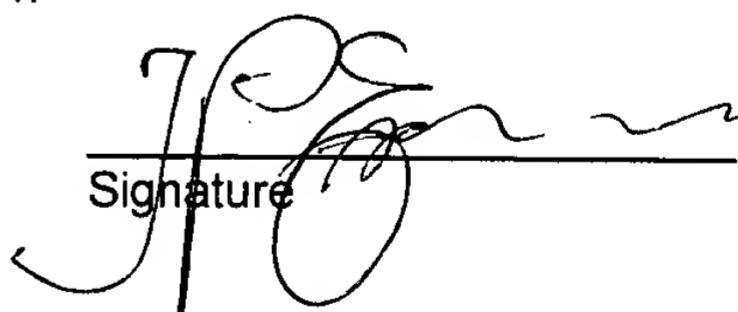
[] The Examiner is advised that the following co-pending application(s) contain(s) subject matter which may be related to the present application. By bringing this (these) application(s) to the attention of the Examiner, the applicant does not waive the confidentiality provisions of 35 USC 122.

U.S. Serial NumberU.S. Filing Date

Respectfully submitted,


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Livermore, CA 94551-0969
(925) 294-3690CERTIFICATION UNDER 37 CFR 1.8

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the **APRIL 12 2001** addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 4/12/01TP Evans
Person Making Deposit
Signature